

## ANNEXURE I

### Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Aarti Pharmalabs Limited**
2. Quarter ending - **30-Sep-2023**

#### i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/Non- Executive/ Independent/ Nominee)	Sub Category	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17 (1A) of Listing Regulations]	Date of passing special resolution	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A (1)]	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A (1)]	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr.	Rashesh Chandrakant Gogri	00066291	AACPG 0778M	C, NED		07-Aug-2021	27-Sep-2021			03-Jun-1974	No				Active	NA		3	0	3	0	RMC, NRC	
Mrs.	Hetal Gogri Gala	00005499	AABPG 1598G	ED	MD	07-Aug-2021	17-Oct-2022			10-Jul-1975	No				Active	NA		2	0	2	0	AC, RC	

Mr.	Narendra Jagannath Salvi	00299202	AEAPS3 745N	ED	MD	07-Aug-2021	17-Oct-2022			16-Aug-1964	No				Active	NA		2	0	2	0	AC, SC,RC
Mr.	Rajendra Vallabhaji Gogri	00061003	AACPG 2278E	NED		07-Aug-2021	27-Sep-2021			15-Dec-1959	No				Active	NA		3	1	4	1	SC, RC
Mr.	Parimal Hasmukhlal Desai	00009272	AABPD4 102D	NED		17-Oct-2022	10-Jan-2023			29-May-1949	No				Active	Yes	10-Jan-2023	2	0	1	0	RMC
Mr.	Vinay Gopal Nayak	02577389	AAAPN7 530J	ID		17-Oct-2022	17-Oct-2022	11.15		12-Dec-1956	No				Active	NA		2	2	1	0	AC, RC, NRC
Mr.	Bhavesh Rasiklal Vora	00267604	AAAPV7 165K	ID		17-Oct-2022	17-Oct-2022	11.15		13-Sep-1967	No				Active	NA		2	2	3	1	AC, SC
Mrs.	Jeenal Kenil Savla	07545244	AROPS 4872M	ID		17-Oct-2022	17-Oct-2022	11.15		09-Dec-1983	No				Active	NA		1	1	1	0	AC, NRC
Mrs.	Rupal Vora	07096253	ADJPV9 076D	ID		17-Oct-2022	17-Oct-2022	11.15		24-Jun-1963	No				Active	NA		6	6	9	5	SC
Mr.	Vilas Gajanan Gaikar	00033383	AAAPG 7380H	ID		17-Oct-2022	17-Oct-2022	11.15		28-Aug-1960	No				Active	NA		1	1	1	0	AC

Company Remarks	
Whether Regular chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	Yes

**ii. Composition of Committees**

**a. Audit Committee**

Sr. No.	Name of Committee members	Category	Chairperson/Member	Date of Appointment	Date of Cessation
1	Bhavesh Rasiklal Vora	ID	Chairperson	17-Oct-2022	
2	Vinay Gopal Nayak	ID	Member	17-Oct-2022	
3	Vilas Gajanan Gaikar	ID	Member	17-Oct-2022	
4	Jeenal Kenil Savla	ID	Member	17-Oct-2022	
5	Hetal Gogri Gala	ED	Member	17-Oct-2022	
6	Narendra Jagannath Salvi	ED	Member	17-Oct-2022	

Company Remarks	
Whether Regular chairperson appointed	Yes

**b. Stakeholders Relationship Committee**

Sr. No.	Name of Committee members	Category	Chairperson/Member	Date of Appointment	Date of Cessation
1	Rajendra Vallabhaji Gogri	NED	Chairperson	17-Oct-2022	
2	Narendra Jagannath Salvi	ED	Member	17-Oct-2022	
3	Bhavesh Rasiklal Vora	ID	Member	17-Oct-2022	
4	Rupal Vora	ID	Member	17-Oct-2022	

Company Remarks	
Whether Regular chairperson appointed	Yes

**c. Risk Management Committee**

Sr. No.	Name of Committee members	Category	Chairperson/Member	Date of Appointment	Date of Cessation
1	Rashesh Chandrakant Gogri	C,NED	Chairperson	17-Oct-2022	
2	Hetal Gogri Gala	ED	Member	17-Oct-2022	
3	Narendra Jagannath Salvi	ED	Member	17-Oct-2022	
4	Rajendra Vallabhaji Gogri	NED	Member	17-Oct-2022	
5	Parimal Has Mukhlal Desai	NED	Member	17-Oct-2022	
6	Vinay Gopal Nayak	ID	Member	17-Oct-2022	

Company Remarks	
Whether Regular chairperson appointed	Yes

**d. Nomination and Remuneration Committee**

Sr. No.	Name of Committee members	Category	Chairperson/Member	Date of Appointment	Date of Cessation
1	Jeenal Kenil Savla	ID	Chairperson	17-Oct-2022	
2	Vinay Gopal Nayak	ID	Member	17-Oct-2022	
3	Rashesh Chandrakant Gogri	C,NED	Member	17-Oct-2022	

Company Remarks	
Whether Regular chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present (All directors including Independent Director)	No. of Independent Directors attending the meeting
15-Apr-2023	Yes	10	10	5
12-May-2023	Yes	10	10	5
05-Aug-2023	Yes	10	10	5

Company Remarks	
Maximum gap between any two consecutive (in number of days)	84

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors present (All directors including Independent Director)	Number of Independent directors attending the meeting	Number of Members attending the Meeting (Other than Board of Directors)
Audit Committee	12-May-2023	Yes	6	6	4	0
Audit Committee	05-Aug-2023	Yes	6	6	4	0
Nomination & Remuneration Committee	12-May-2023	Yes	3	3	2	0
Risk Management Committee	05-Aug-2023	Yes	6	6	1	0

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	84

v. **Related Party Transactions**

<b>Subject</b>	<b>Compliance status (Yes/No/NA)</b>	<b>Remark</b>
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**
  - c. Stakeholders relationship committee - **Yes**
  - d. Risk management committee (applicable to the top 1000 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**  
 b. Any comments/observations/advice of Board of Directors may be mentioned here:

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**Name** : **Nikhil Natu**  
**Designation** : **Company Secretary & Compliance Officer**

### ANNEXURE III

#### Affirmations

<b>Broad Heading</b>	<b>Regulation Number</b>	<b>Compliance Status</b>	<b>Company Remark</b>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

#### Note:

Shri Narendra J. Salvi, Managing Director of the Company, and Member of the Stakeholders Relationship Committee, had been authorized by Shri Rajendra Gogri, Chairman of the said Committee, to attend the Annual General Meeting in his absence on account of business visit out of the country,

**Name** : **Nikhil Natu**  
**Designation** : **Company Secretary & Compliance Officer**

**ANNEXURE IV**

%symbol%	%companyName%	%quarterEnded%
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**(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to**

Aggregate amount advanced during six months				Balance outstanding at the end of six months			
<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>
0	0	0	0	0	0	0	0

**(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>	NA	0	0
<i>Promoter Group or any other entity controlled by them</i>	NA	0	0
<i>Directors (including relatives) or any other entity controlled by them</i>	NA	0	0
<i>KMPs or any other entity controlled by them</i>	NA	0	0

**(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
<i>Promoter or any other entity controlled by them</i>	NA	0	0
<i>Promoter Group or any other entity controlled by them</i>	NA	0	0
<i>Directors (including relatives) or any other entity controlled by them</i>	NA	0	0
<i>KMPs or any other entity controlled by them</i>	NA	0	0

**(D) If the Listed Entity would like to provide any other information the same may be indicated here**

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**Affirmations**

*All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company*

**Company Remarks in case of non-compliant status**

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**Name:** Piyush Lakhani  
**Designation:** Chief Financial Officer  
**Place:** Mumbai  
**Date:** 12-Oct-2023